

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	9120562
<b>Application Number:</b>	10092005
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7579
<b>Title of Invention:</b>	System and method for providing liquidity
<b>First Named Inventor/Applicant Name:</b>	Gordon P. Getty
<b>Customer Number:</b>	08791
<b>Filer:</b>	Ryan Elliott
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	8102P001
<b>Receipt Date:</b>	27-DEC-2010
<b>Filing Date:</b>	06-MAR-2002
<b>Time Stamp:</b>	20:54:08
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$960
RAM confirmation Number	3942
Deposit Account	022666
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	8102P001_sb0030e_fill_RCE_filed_12-27-10.pdf	697923	no	3
			f78de9ead5969da63d03c8234d926c9c1dff760d9		
Warnings:					
Information:					
2	Miscellaneous Incoming Letter	8102P001_EOT_filed_12-27-10.pdf	13248	no	1
			47ed1ca36aa3ceb8c96a06e7b1f6b3909140dd83		
Warnings:					
Information:					
3		8102P001_RESPONSE_filed_12-27-10.pdf	92183	yes	21
			ea1376c91f8e6ff531e497c51bd7429bb3cd69e3		
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment Submitted/Entered with Filing of CPA/RCE		1	1	
	Specification		2	2	
	Claims		3	10	
	Amendment/Req. Reconsideration-After Non-Final Reject		11	21	
Warnings:					
Information:					
4	Oath or Declaration filed	8102P001_Declaration_under1132_filed_12-27-10.pdf	650804	no	13
			f00cfb102d071813953c43c1717afb2ff1d423bf		
Warnings:					
Information:					
5	Examination support document	Exhibit_A_A_Survey_of_the_Evidence_on_the_CosT_of_Mutual_Fund_Flows.pdf	109266	no	5
			26f471c1c4ac43468035dda4b0ca452be54d0adb		
Warnings:					
Information:					
6	Examination support document	Exhibit_B_Marketing_the_Benefits_of_ReFlow_to_Investors.pdf	67013	no	3
			9e15bb3379bcb0745f2bf7590ad4cd15f0a9828c		

Warnings:					
Information:					
7	Examination support document	Exhibit_C_American_independence_Funds_Adopt_ReFlow_Liquidity_Service.pdf	73617	no	3
			f19007bebb236764c398cedde5319ae92f2ff0cf		
Warnings:					
Information:					
8	Examination support document	Exhibit_D_No-Action_Letter.pdf	290690	no	30
			f6b5ba3138b02d315c68065449451fe7fbb3e804		
Warnings:					
Information:					
9	Examination support document	Exhibit_E_Getty_to_play_rich_uncle_for_a_price_wwwinvestmentnews.pdf	716450	no	10
			202480ec111401561f0d91404c16a8bfd1e2b931		
Warnings:					
Information:					
10	Examination support document	Exhibit_F_Getty_says_his_off-the-wall_fund_idea_will_work.pdf	53397	no	3
			a2823c4761f8178d9c5d0bad950036bc4b297d9e		
Warnings:					
Information:					
11	Examination support document	Exhibit_G_Gettys_ReFlow_at_take-off_point.pdf	51096	no	3
			52652e57f9c2b4cf05ba476a1135b14010d988df		
Warnings:					
Information:					
12	Examination support document	Exhibit_H_Redemptions_Force_More_Funds_to_Liquidate.pdf	391459	no	4
			1f61d0154c0de93c1ed53803064a1c8c5fd4cb24		
Warnings:					
Information:					
13	Fee Worksheet (PTO-875)	fee-info.pdf	31799	no	2
			2ee1541450f44a2d2ddd621988f16d877efcab47		
Warnings:					
Information:					
Total Files Size (in bytes):			3238945		

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**